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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | <b>Application Number</b>   |                  | 10576682   |  |
|   | <b>Filing Date</b>          |                  | 2007-01-16 |  |
|   | <b>First Named Inventor</b> | Koshima          |            |  |
|   | <b>Art Unit</b>             | 1755             |            |  |
|   | <b>Examiner Name</b>        | Not yet assigned |            |  |
| <b>Attorney Docket Number</b>   |                             | 5426JS-5         |            |  |

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|   |  |                          |
|---|--|--------------------------|
| 1 | OOI, TAKASHI ET AL., "Molecular Design of a C2-Symmetric Chiral Phase-Transfer Catalyst for Practical Asymmetric Synthesis of $\alpha$ -Amino Acids" Journal Of The American Chemical Society, 121(27), 6519-6520 CODEN: JACSAT; ISSN: 0002-7863, 1999, XP002941730        | <input type="checkbox"/> |
| 2 | OOI, TAKASHI ET AL., "Facile synthesis of L-Dopa tert-butyl ester by catalytic enantioselective phase-transfer alkylation", TETRAEDRON LETTERS, 41(43), 8339-8342 CODEN: TELEAY; ISSN: 0040-4039, 2000, XP004234117  | <input type="checkbox"/> |
| 3 | OOI, TAKASHI ET AL., "Practical catalytic enantioselective synthesis of $\alpha,\alpha$ -dialkyl- $\alpha$ -amino acids by chiral phase-transfer catalysis" Journal Of The American Chemical Society, 122(21), 5228-5229 CODEN: JACSAT; ISSN: 0002-7863, 2000, XP002941729 | <input type="checkbox"/> |
| 4 | OOI, TAKASHI ET AL., "Conformationally flexible, chiral quaternary ammonium bromides for asymmetric phase-transfer catalysis" ANGEWANDTE CHEMIE, INTERNATIONAL EDITION, 41(9), 1551-1554 CODEN: ACIEF5, ISSN: 1433-7851, 2002, XP001123951                                 | <input type="checkbox"/> |
| 5 | THIERRY, BAPTISTE ET AL., "Solution- and solid-phase approaches in asymmetric phase-transfer catalysis by cinchona alkaloid derivatives", SYNTHESIS, (11), 1742-1746 CODEN: SYNTBF; ISSN: 0039-7881, 2001, XP002437535   | <input type="checkbox"/> |
| 6 | Supplementary European Search Report for corresponding European Patent Application No. EP 04745429.3, mailed June 26, 2007   | <input type="checkbox"/> |

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

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| Signature  | /Joseph E. Kovarik/ | Date (YYYY-MM-DD)   | 2007-08-14 |
| Name/Print | Joseph E. Kovarik   | Registration Number | 33005      |

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